

# Financial Crime Compliance Asia Capabilities

The dedicated Financial Crime Compliance (FCC) practice is a core component of FTI Consulting's full-service offering. We offer end-to-end anti-money laundering (AML), economic sanctions (Sanctions) and anti-bribery and corruption (AB&C) consulting services.

FTI Consulting's FCC practice is led, managed and staffed predominantly by FCC practitioners. By employing senior professionals, who have designed, implemented and managed complex FCC programmes at 'tier one' banks and other organisations, often under direct regulatory scrutiny, FTI Consulting is uniquely placed to offer our clients, as a trusted advisor with deep subject matter expertise, practical, tailored FCC solutions.

## WHY FTI CONSULTING FOR FINANCIAL CRIME COMPLIANCE

FTI Consulting offers comprehensive and customised services to meet each and every challenge facing financial institutions. Our professionals have the expertise needed to accurately assess problems, understand clients' and regulators' requirements, and design the appropriate solutions. We blend our expertise and investigative experience with our specialised technology and data services to develop cost-effective solutions for our clients.

## EXPERTS WITH IMPACT

FTI Consulting's senior leaders average more than 20 years of experience, combining a deep industry background in regulation, compliance, and investigations, with a long history of successfully undertaking our most sensitive consulting assignments. Supported by a deep bench of experienced junior professionals, FTI Consulting scales and tailors its services to meet any organisational challenge. Our senior staff members lead from the front and actively participate throughout engagements. We maintain a programme of continuous quality assurance and internal challenge.

## CREDIBILITY AND INDEPENDENCE

We are recognised for our credibility with regulators and our independence. We do not provide audit attestation services.

## INDUSTRY, REGULATORY AND CONSULTING EXPERIENCE

Our teams are composed of professionals with senior executive industry, regulatory and consulting experience which enables us to provide practical regulatory insights and support.

## INNOVATIVE & ADVANCED SOLUTIONS

We bring FTI Consulting's experiences from a wide range of industries which face similar challenges, to deliver innovative, pragmatic, and effective solutions for our clients. We offer advanced technology solutions to enable our clients to better manage their governance, risk and regulatory challenges. We have access to a range of economists, statisticians and analysts to support our work.

## LOCAL LANGUAGE CAPABILITIES

We have extensive experience working on complex issues and multi-faceted assignments, to which we bring a wide range of experience, skills and practical knowledge. We provide staff with local language capabilities when needed and work across segments in a collaborative and efficient manner.

## QUALITY AND INTEGRITY

Our heritage of litigation and regulatory assignments underpins a consistent commitment to quality and integrity. Our experience in investigating failures and errors means we know how to ensure they are avoided in future.



**Rod Francis**  
Senior Managing Director  
Financial Crime Compliance Leader

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**Rod Francis** is a Senior Managing Director and leads the Financial Crime Compliance practice in Asia at FTI Consulting. He is based in Hong Kong.

Rod is a senior compliance professional who has over 30 years' experience in the financial services industry. The majority of his career has been spent in risk management related roles covering a range of business sectors and products including consumer/retail, wholesale, corporate and investment, wealth management and asset management banking. For the last 12 years, he has been based in Hong Kong covering the Asia Pacific (APAC) region and prior to this, he was based in London covering Europe, Middle East and Africa (EMEA).

Prior to joining FTI Consulting, Rod was Citibank's APAC Regional Head of Anti-Money Laundering (AML) Compliance for eight years. This role encompassed responsibility for AML compliance for the global consumer bank for EMEA. In this role, Rod was the senior executive leader responsible for, and instrumental in, leading Citibank's successful AML change program in response to U.S. regulatory commitments. During this period, he also had responsibility for economic sanctions (Sanctions) compliance and anti-bribery & corruption (AB&C). In APAC, Rod also worked for UBS where he held a number of senior management positions including APAC Head of Central Compliance where he was responsible for establishing and implementing the unit in the region as well and as a global unit for regulatory reporting. He was also the APAC Head of AML and Sanctions Compliance. Prior to 2007, Rod was based in London covering EMEA working for tier one banks. Amongst compliance roles for financial institutions, he was the EMEA Regional Head of AML and Sanctions for both Credit Suisse and Morgan Stanley, incorporating central compliance and operations compliance for each respectively.

He implemented Morgan Stanley's first EMEA regional AML Compliance function and assisted Credit Suisse in EMEA redesigning its regional AML program with focus on both efficiency and effectiveness. Rod has a broad in-depth knowledge and experience in all aspects of AML, sanctions and anti-bribery compliance, is a member of the Association of Certified Anti-Money Laundering Specialists (ACAMS) and the Director of Membership and Board Member of the Hong Kong Chapter of ACAMS. He regularly speaks at industry events including ACAMS seminars.



**Naresh Sakhrani**  
Managing Director

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**Naresh** is a senior financial crime compliance professional with 20 years of combined experience in establishing and managing AML and fraud investigations programmes and practices, leading pre-transaction due diligence and legal forensic assignments, and as a solicitor focusing on corporate and regulatory law. Previously, he was the Global Financial Intelligence Unit (FIU) Governance & Oversight Head at Citibank, where he was responsible, globally, for ensuring the proper and effective operation of AML investigations processes across the corporate, consumer and private banks.



**Aditya Chopra**  
Senior Director

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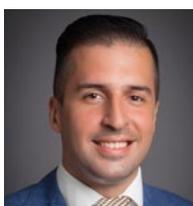
**Adi** has 15 years' solid experience in setting up and managing AML, Sanctions and fraud investigations programmes and practices, leading Federal Financial Institutions Examination Council reviews and forensic assignments. Prior to joining FTI Consulting, Adi was the APAC FIU Governance & Oversight Head at Citibank, where he was responsible for ensuring the proper and effective operation of AML investigations processes across the corporate, consumer and private banks. Between 2011 and 2018, he held several other senior roles covering Private Banking FCC Advisory and was the Country Money Laundering Reporting Officer overseeing AML and Sanctions. Prior to Citibank, Adi was a member of the Financial Services Advisory segment, specialising in FCC at a "Big Four" accounting firm.



**Kitty Chan**  
Senior Director

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**Kitty** has 15 years of combined experience in AML, Sanctions, AB&C and fraud investigations. She is experienced in leading financial crime risk and customer due diligence (CDD) management for corporate & investment banking and markets client segments in Asia Pacific, from both an AML and Sanctions perspective. Prior to FTI Consulting, Kitty was the North Asia AML Cluster Head at Bank of America. She also led the CDD risk management team covering various business lines in Asia Pacific. Prior to that, she was a part of the Asia Pacific Sanctions team at Citibank which managed Sanctions compliance initiatives and related escalations from all business sectors.



**Matt Naletilic**  
Director

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**Matt** has five years of experience in design, enhancement, review and implementation of post-transaction monitoring detection and know your customer and client due diligence programmes for major, tier-one financial institutions in North America and Asia Pacific. Previously, he was responsible for leading Citibank's efforts in developing and implementing an AML post-transaction monitoring framework for the Consumer Bank's Credit Card portfolio of 17 million accounts in 12 Asia Pacific countries.

## EXPERTS WITH IMPACT™

### About FTI Consulting

FTI Consulting is an independent global business advisory firm dedicated to helping organizations manage change, mitigate risk and resolve disputes: financial, legal, operational, political & regulatory, reputational and transactional. FTI Consulting professionals, located in all major business centers throughout the world, work closely with clients to anticipate, illuminate and overcome complex business challenges and opportunities.